STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

AMENDED NOTICE OF HEARING

TO THE RESPONDENTS:

Carl G. Moose (CRD #1729403) 801 N. Elizabeth, 4 North

Chicago, Illinois 60622

Infiniti Wind Technology N.A., LLC Infiniti Wind Technology LLC Infiniti Enterprises Worldwide, Inc. Infiniti Caribbean Wind, LLC Attention: Carl Moose, Manager 1658 N. Milwaukee Ave. Suite 245

Chicago, Illinois 60622

You are hereby notified that pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, Subpart K, a public hearing will be held at 69 West Washington Street, Suite 1220, Chicago, Illinois 60602, on the 14th day of November, 2012 at the hour of 10:00 a.m. or as soon as possible thereafter, before James Kopecky Esq., or such other duly designated Hearing Officer of the Secretary of State.

Said hearing will be held to determine whether an Order shall be entered pursuant to Section 11.E of the Act prohibiting the Respondents from selling or offering for sale securities in the State of Illinois and/or granting such other relief as may be authorized under the Act including but not limited to the imposition of a monetary fine in the

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maximum amount pursuant to Section 11.E of the Act, payable within ten (10) business days of the entry of the Order.

The grounds for such proposed action are as follows:

- 1. Carl G. Moose (CRD #1729403) is a natural person. His last known address is 801 N. Elizabeth, 4 North, Chicago, Illinois.
- 2. Infiniti Wind Technology N.A. LLC, Infiniti Wind Technology LLC, Infiniti Enterprises Worldwide, Inc. and Infiniti Caribbean Wind, LLC are Illinois business entities created and controlled by Carl Moose all of which have last known address is 1658 N. Milwaukee Ave. Suite 245, Chicago, Illinois 60622; however that location is a UPS Store and not an office.
- 3. From on or about January 2007 through on or about June 2011, Moose offered and sold to 28 investors membership units or stock in Infiniti Wind Technology N.A. LLC and Infiniti Caribbean Wind, LLC and received from these investors \$1,189,160.00.
- 4. Moose represented to investors that their funds would be used for business investment purposes including the purchase of stock in a wind power energy company and licensing arrangement with the same entity.
- 5. Instead Moose transferred over \$517,000.00 to business bank accounts of unrelated, unaffiliated business entities which he formed and controlled including the accounts of Infiniti Enterprises Worldwide, Inc.
- 6. None of the above transfers were done pursuant to any written business agreement or other evidence of investment or legitimate business transaction and were contrary to the operating agreement of Infiniti Wind Technology LLC which required approval by the members of the LLC before the transfers could take place.
- 7. Upon transfer of these funds, Moose diverted the funds for his own use and to pay off investors of his other unrelated investment schemes.
- 8. Respondent Moose materially misrepresented the potential earnings of the Respondent Infinity LLC to investors.
- 9. Respondent Moose failed to disclose to investors that he was not licensed as a registered representative.
- 10. Respondent Moose failed to disclose to investors that Respondent Infiniti Wind Technology LLC and Infiniti Caribbean Wind LLC were not

registered to sell securities with the Illinois Secretary of State Securities Department.

- 11. Respondent Moose sold to investors that were not accredited investors, not sophisticated investors and to seniors.
- 12. Respondent Moose manipulated investors to pull their money out of traditional accounts, including but not limited to stock market accounts and IRA accounts.
- 13. That the activities set forth in paragraphs above constitute the offer and sale of stock, and therefore a security, as those terms are defined in Section 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953, 815 ILCS 5/1 et seq.
- 14. Respondents failed to file an application for registration of the abovereferenced securities with the Secretary of State and as a result, the securities were not registered pursuant to Section 5 of the Act prior to their offer and sale in the State of Illinois.
- 15. Respondent Moose has never been registered with the Illinois Secretary of State Securities Department to offer of sell securities in the State of Illinois.
- 16. That at all relevant times, the respondent was not registered as a dealer and/or salesperson under the Act
- 17. Respondent offered an unregistered security
- 18. That by virtue of the foregoing, the Respondents have violated Sections 12A, C, D, F, G, H, and I of the Act.

You are further notified that you are required pursuant to Section 130.1104 of the Rules and Regulations (14 ILL. Adm. Code 130)(the "Rules"), to file an answer to the allegations outlined above within ten (10) days of the receipt of this Amended Notice of Hearing. A failure to file an answer within the prescribed time shall be construed as an admission of the allegations contained in the Notice of Hearing.

Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to so appear shall constitute default, unless any Respondent has upon due notice moved for and obtained a continuance.

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A <u>link</u> of the Rules, promulgated under the Act and pertaining to hearings held by the Office of the Secretary of State, Securities Department, is included with this Notice. http://www.cyberdriveillinois.com/departments/securities/lawrules.html

Delivery of Notice to the designated representative of any Respondent constitutes service upon such Respondent.

Dated: This 15th day of October, 2012.

JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State: Jason Chronopoulos 69 West Washington Suit 1220 Chicago, IL 60602

Hearing Officer: James Kopecky 190 S. LaSalle Street, Suite 850-A Chicago, Illinois 60603